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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/665,741	09/22/2003	Masatsugu Shibuno	116692004500	4687

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EXAMINER

FRÉNEL, VANEL

ART UNIT

PAPER NUMBER

3687

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DELIVERY MODE

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/665,741

Applicant(s)

SHIBUNO, MASATSUGU

Examiner

VANEL FRENEL

Art Unit

3687

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 September 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-18 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-18 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-946)
- 3) ☐ Information Disclosure Statement(s) (PTO/SE/US)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Notice to Applicant

1. This communication is in response to the application filed on 09/22/03. Claims 1-18 are pending.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Inoue et al. (7,188,137) in view of Freedman et al. (2004/0249650).

(A) As per claim 1, Inoue discloses a one-to-one business support system comprising: a storage device that stores customer attribute information indicating an attribute of a customer and purchase information indicating a commodity purchased by the customer (See Inoue, Col.8, lines 54-67 to Col.9, line 2); and a server that provides the application program to said client computer (See Inoue, Fig. 11; Col.14, lines 50-67 to Col.15, line 14).

Inoue does not explicitly disclose a client computer that executes an application program to perform a first function of narrowing down a customer who satisfies a predetermined condition based on the customer information stored in said storage device, a second function of deciding a plan of an action that is performed to the

customer narrowed down for each customer, a third function of issuing a relation tool for executing the decided plan, and/or a fourth function of performing an evaluation of the action according to a predetermined criterion.

However, these features are known in the art, as evidenced by Freedman. In particular, Freedman suggests that the system having a client computer that executes an application program to perform a first function of narrowing down a customer who satisfies a predetermined condition based on the customer information stored in said storage device, a second function of deciding a plan of an action that is performed to the customer narrowed down for each customer, a third function of issuing a relation tool for executing the decided plan, and/or a fourth function of performing an evaluation of the action according to a predetermined criterion (See Freedman, Page 4, Paragraph 0038).

It would have been obvious to one of ordinary skill in the art at the time of the invention to have included the features of Freedman within the system of Inoue with the motivation of providing an apparatus for capturing and analyzing customer interactions the apparatus comprising a multi segment interaction device etc (See Freedman, Page 3, Paragraph 0015).

(B) As per claim 2, Inoue discloses the one-to-one business support system wherein the application program provided by said server includes a program that causes said client computer to execute a fifth function of creating a script that defines the contents of the relation tool in a personalized form for each customer, and a sixth function of issuing

the relation tool by instructing said server to output the relation tool having the contents according to the script, wherein said server includes an output device that outputs the relation tool according to the instruction of said client computer (See Inoue, Col.8, lines 38-67; Col.14, lines 64-67 to Col.15, line 14).

(C) As per claim 3, Inoue discloses the one-to-one business support system wherein said server includes a printer that prints the relation tool having the personalized contents for each customer according to an instruction of printing supplied from said client computer (See Inoue, Col.14, lines 26-67).

(D) As per claim 4, Freedman discloses the one-to-one business support system wherein said client computer includes a main office terminal and a shop terminal, and said main office terminal includes an output device that presents at least a part of information used in executing the first to fourth functions to an operator in a recognizable form, and said shop terminal includes an output device that presents at least a part of information used in executing the fifth and sixth functions to an operator in a recognizable form (See Freedman, Page 4, Paragraph 0038; Page 5, Paragraph 0039).

(E) As per claim 5, Freedman discloses the one-to-one business support system, wherein the output device of said shop terminal obtains and/or stores customer attribute information of a customer, information indicating a rank when the customer is ranked on

a predetermined criterion, information indicating a commodity purchased by the customer in the past and information indicating a history of a relation with the customer, and outputs any one of these information items that confirms to a condition designated by the operator (See Freedman, Page 4, Paragraphs 0038-0040).

(F) As per claim 6, Freedman discloses the one-to-one business support system wherein the action includes any one or more of an action of an event system that encourages the customer to come to the shop to improve sales promotion, an action of a calendar system that deepens the relationship with the customer to make the customer a regular customer to improve customer loyalization and an action of a shop service system that deepens the relationship with the customer at the shop to improve a sales rate or suggest coordinates (See Freedman, Page 7, Paragraphs 0043-0044).

(G) As per claim 7, Freedman discloses the one-to-one business support system wherein the action of the event system includes an action that issues a relation tool that transmits a message to the customer on a one-to-one basis, hereby sending a guide for a sales promotion to the customer, the action of the calendar system includes an action that issues a relation tool according to a calendar preset for each event, and the action of the shop service system includes an action that presents the contents of a personalized script to a person to be served (See Freedman, Page 12, Paragraphs 0059-0060).

(H) As per claim 8, Inoue discloses the one-to-one business support system wherein said client computer captures language information indicating words uttered by the customer and present the language information to an operator in a predetermined form that the operator can recognize (See Inoue, Col.14, lines 49-67 to Col.15, line 14).

(I) As per claim 9, Inoue discloses the one-to-one business support system wherein the relation tool includes at least any one of DM (Direct Mail), facsimile, e-mail, and telephone (See Inoue, Col.28, lines 30-43).

(J) As per claim 10, Inoue discloses the one-to-one business support system wherein the application program provided by said server includes a program that causes said client computer to perform a function of specifying a frequency of which the customer conducts a business transaction at the shop based on the customer attribute information to predict a day when the customer comes to the shop based on the frequency, and a function of detecting an arrival of a predetermined time decided based on the predicted day to perform an output of a message that encourages execution of the action and/or an issue of an relation tool relating to the action (See Inoue, Fig.20; Col.12, lines 4-48; Col.25, lines 34-67; Col.28, lines 5-44).

(K) As per claim 11, Freedman discloses the one-to-one business support system wherein the application program provided by said server includes a program that causes said client computer to perform a function of obtaining purchase information at

multiple points in time in connection with persons, who are customers at least any of the multiple points in time, to classify the respective customers at the multiple points in time into any of multiple ranks according to a predetermined criterion based on these purchase information and a function of specifying a variation in a customer rank, the number of newly enrolled customers and/or the number of withdrawn customers based on a result of the classification to output information indicating a specified result (See Freedman, Page 15, Paragraph 0064).

(L) As per claim 12, Freedman discloses the one-to-one business support system wherein the application program provided by said server includes a program that causes said client computer to perform a function of extracting a customer according to a predetermined condition based on the customer attribute information and/or purchase information and a function of generating data indicating at least any parameter of the number of extracted customers, a frequency of which the corresponding customer purchases a commodity, a type of a commodity purchased by the corresponding customer, a sales amount per one corresponding customer, the number of purchased commodities per one corresponding customer and a unit price of the commodity purchased by the corresponding customer and data indicating a time transition of the parameter value based on the customer attribute information and/or purchase information (See Freedman, Page 6, Paragraph 0040).

(M) As per claim 13, Inoue discloses the one-to-one business support system

Art Unit: 3687

wherein said server provides the application program by executing an ASP (Application Service Provider) service (See Inoue, Col.14, lines 50-62).

(N) As per claim 14, Inoue discloses the one-to-one business support system further comprising a card reader that reads customer attribute information and/or purchase information from a magnetic card or an IC (Integrated Circuit) card to obtain the customer attribute information and/or purchase information or a POS (Point OF Sales) register that obtains customer attribute information and/or purchase information according to an operation from an operator, wherein said card reader and said POS register are connected to said storage device via a communication line, and the obtained customer attribute information and/or purchase information is supplied to said storage device and stored therein (See Inoue, Fig.2, Col.13, Lines 39-67 to Col.14, line 62).

(O) As per claim 15, Inoue discloses the one-to-one business support system wherein said server includes a web server and the web server provides data to said client computer that functions as a client machine via a network according to a communication procedure where security is ensured (See Inoue, Col.15, lines 4-28).

(P) As per claim 16, Inoue discloses the one-to-one business support system further comprising a database server connected to said storage device via a communication line where security is ensured, wherein said database server and said application server

are connected to each other via a firewall to form a security capsule zone (See Inoue, Fig.1; Fig.13, Col.15, lines 4-52).

(Q) Claim 17 differs from claim 1 by reciting a computer data signal embedded in a carrier wave that indicates a program for causing a computer to function.

As per this limitation, it is noted that Inoue discloses a storage device that stores customer attribute information indicating an attribute of a customer and purchase information indicating a commodity purchased by the customer (See Inoue, Col.8, lines 54-67 to Col.9, line 2) and Freedman discloses a client computer that executes an application program to perform a first function of narrowing down a customer who satisfies a predetermined condition based on the customer information stored in said storage device, a second function of deciding a plan of an action that is performed to the customer narrowed down for each customer, a third function of issuing a relation tool for executing the decided plan, and/or a fourth function of performing an evaluation of the action according to a predetermined criterion and a server that provides the application program to said client computer (See Freedman, Fig.7; Page 4, Paragraph 0038; Page 17, Paragraph 0080).

Thus, it is readily apparent that these prior art systems utilize a computer data signal embedded in a carrier wave that indicates a program for causing a computer to perform their specified function.

The remainder of claim 17 is rejected for the same reason given above for claim 1, and incorporated herein.

(R) Claim 18 differs from claims 1 and 17 by reciting a computer-readable storage medium having a program recorded thereon.

As per this limitation, it is noted that Inoue discloses said program causing a computer to function as a storage device that stores customer attribute information indicating an attribute of a customer and purchase information indicating a commodity purchased by the customer (See Inoue, Col.8, lines 54-67 to Col.9, line 2) and Freedman discloses a client computer that executes an application program to perform a first function of narrowing down a customer who satisfies a predetermined condition based on the customer information stored in said storage device, a second function of deciding a plan of an action that is performed to the customer narrowed down for each customer, a third function of issuing a relation tool for executing the decided plan, and/or a fourth function of performing an evaluation of the action according to a predetermined criterion and a server that provides the application program to said client computer (See Freedman, Fig.7; Page 4, Paragraph 0038; Page 17, Paragraph 0080).

Thus, it is readily apparent that these prior art systems utilize a computer data signal embedded in a carrier wave that indicates a program for causing a computer to perform their specified function.

The remainder of claim 18 is rejected for the same reason given above for claims 1 and 17, and incorporated herein.

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The cited but not the prior art teaches system and method for updating shopping transaction history using electronic personal digital shopping assistant (6,129,274), method and apparatus for offering coupons during a transaction (2004/0138953), method and system for generating incentives based on substantially real-time product purchase information (6,292,786) and system and method for dynamic assembly of packages in retail environments (6,138,105).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to VANEL FRENEL whose telephone number is (571)272-6769. The examiner can normally be reached on 6:30am-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew S. Gart can be reached on 571-272-3955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Vanel Frenel/
Examiner, Art Unit 3687
June 07, 2008